



# Linking Forests, People and Development

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**We envision  
biologically  
diverse  
Philippine  
forests that are  
sustainably  
managed and  
equitably  
accessible to  
responsible  
stakeholders.**



# Creation of PTFCF



- Established under two bilateral agreements signed on Sept. 19, 2002, between the United States and the Philippines under the US Tropical Forest Conservation Act.
- Leveraged \$5.5 million USG appropriation to treat \$41.5 million in RP-US debt and divert \$8.25 million in peso-denominated interest payments over 14 years, to the Tropical Forest Conservation Fund.
- 9 Trustees (5 NGO representatives, 2 each for Philippine and US governments)





# Conservation Focus



- Overall thematic focus on **forest formations** particularly, **dipterocarp forests** and **mangrove forests**.
- No specific geographic area but focused on **remaining forested areas where biodiversity and ecosystem services matter most**.
- Proposed activities should have a **direct link to or have an impact on forest conservation**.



**Forest Protection**  
To establish, restore, protect and maintain parks, protected areas and reserves.

**Natural Resource Management**  
To develop and implement scientifically sound systems of natural resource management, including land and ecosystem management practices.

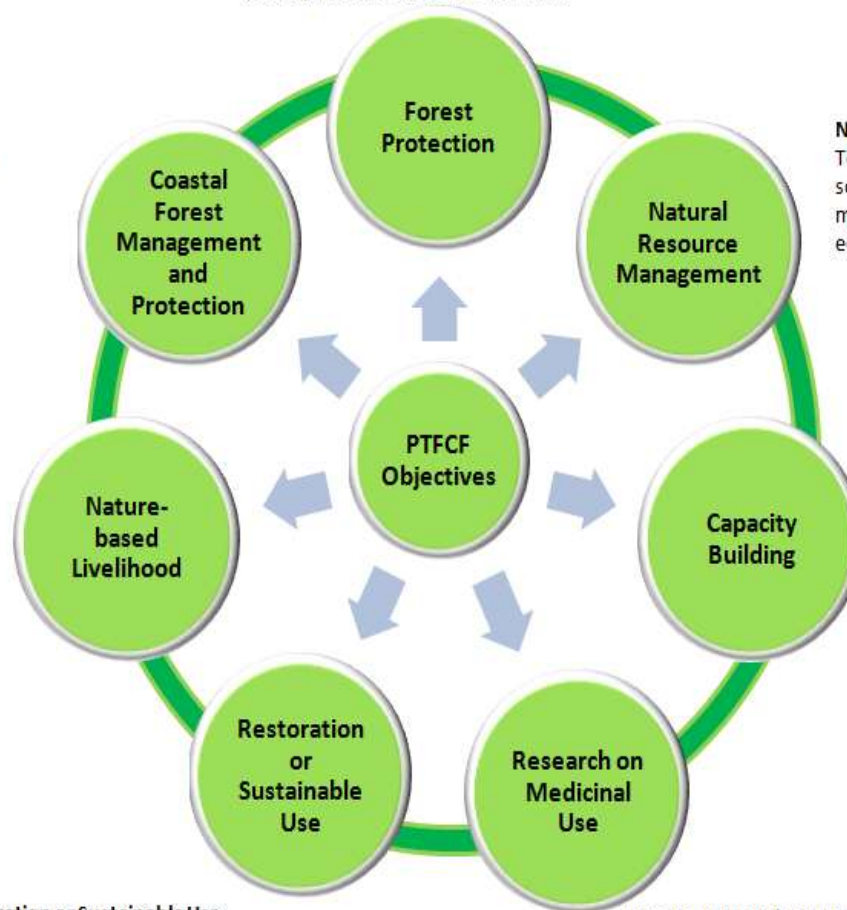
**Capacity Building**  
To increase scientific, technical and managerial capacities of individuals and organizations involved in forest conservation efforts.

**Increase Research on Medicinal Use**  
To conduct research and identification of medicinal uses of tropical forest plant life to treat human diseases, illnesses and health-related concerns.

**Restoration or Sustainable Use**  
To restore, protect or sustain use of diverse animal and plant species.

**Coastal Forest Management and Protection**  
To combat illegal logging and develop sustainable community-based enterprises.

**Nature-based Livelihood of Forest Dwellers**  
To support livelihoods of individuals living in or near a tropical forest in a manner consistent with protecting tropical forests.





# Modes of Program Implementation



- Area Grants
- Research Grants
- Project Development Grants
- Micro Grants
- Small Grants
- Partnerships
- PTFCF initiatives



# Eligible Project Holders



- Civil society organizations (NGOs & POs) engaged in forest conservation
- Private academic institutions
- Individuals (particularly for short-term, research projects)

No more than 2 concurrent grants to one grantee except on the basis of a PTFCF initiative.

We **cannot** support government agencies, local government units, instrumentalities, and state universities **unless** under exceptional circumstances.

# Evaluation Criteria: Area



- Located in forest formations, i.e. dipterocarp forests or mangrove forests, critical watersheds and other key biodiversity areas
- Demonstrated biodiversity importance and/or ecosystem service
- Covered by a forest management framework
- Existence of threats to forests
- Secure tenure and/or access



# Evaluation Criteria: Action

- Direct impact on forest conservation
- Sustainability of action
- Stakeholder engagement
- Impact on identified critical or priority areas and species
- Consistency/coherence of objectives, methods and outcome.

# Evaluation Criteria: Actors

- Local civil society organization
- Organized; with working BOD and officers
- Preferably with existing projects on site
- Track record on forest conservation and /or access to support institutions or organizations
- If possible, registered
- Endorsed by local stakeholders

# Grant Approval Process



## Receipt

- Receiving and data entry
- Staff assessment of compliance

## Evaluation

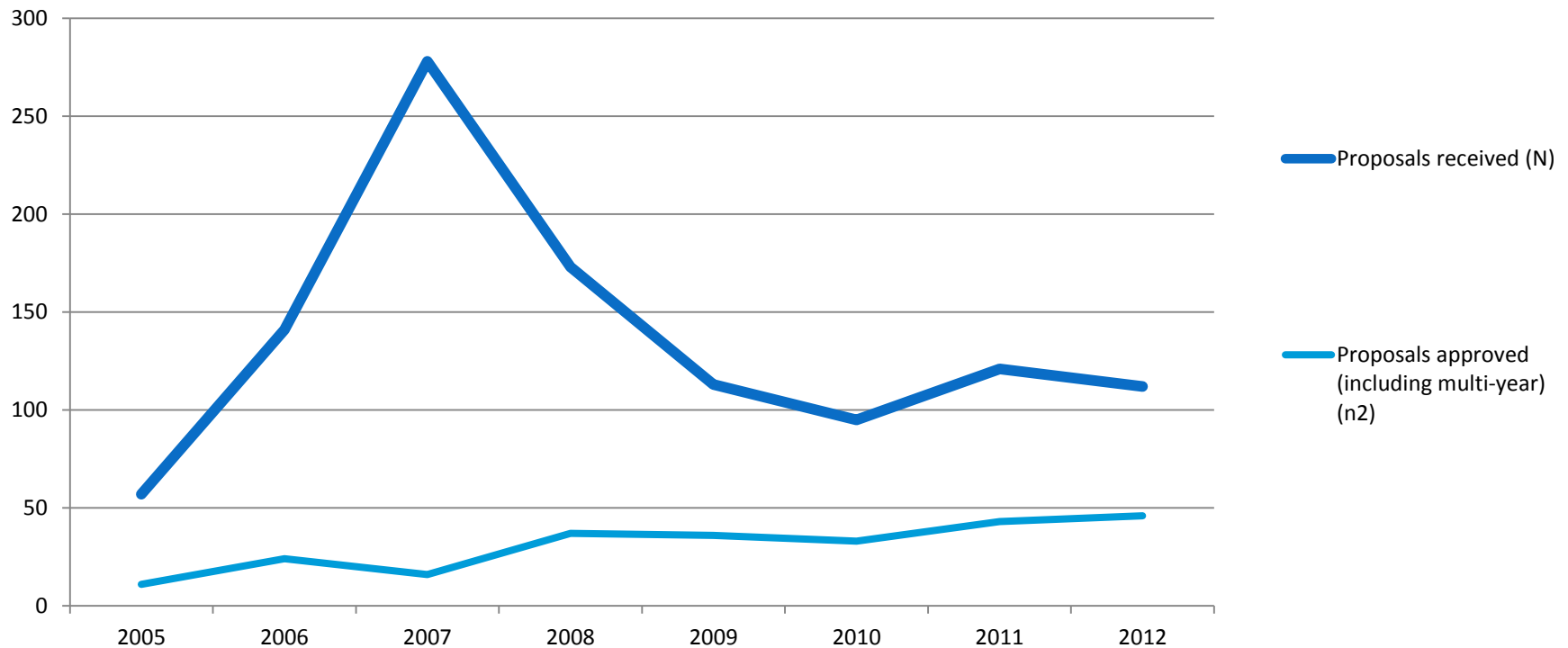
- Sub-committee evaluation of concepts
- Program Committee evaluation

## Approval

- BOT Approval
- Negotiation and due diligence

	2005	2006	2007	2008	2009	2010	2011	2012	Total
<b>Proposals received (N)</b>	57	141	278	173	113	95	121	112	<b>1090</b>
<b>Proposals approved (including multi-year) (n2)</b>	11	24	16	37	36	33	43	46	<b>246</b>
<b>Percent approval (n2/N)</b>	19%	17%	6%	21%	32%	35%	36%	41%	<b>23%</b>

**Summary of proposals received and approved (2005-2012)**





# Grant Monitoring and Evaluation

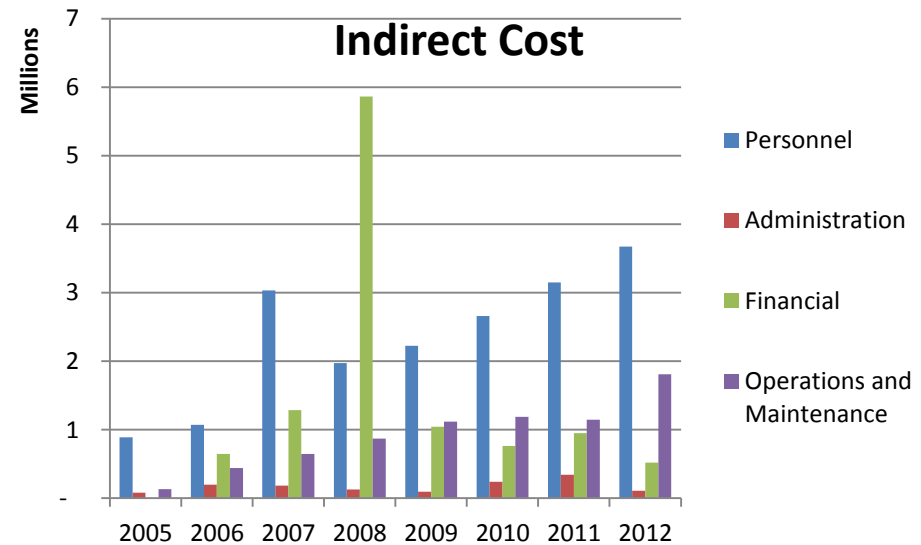
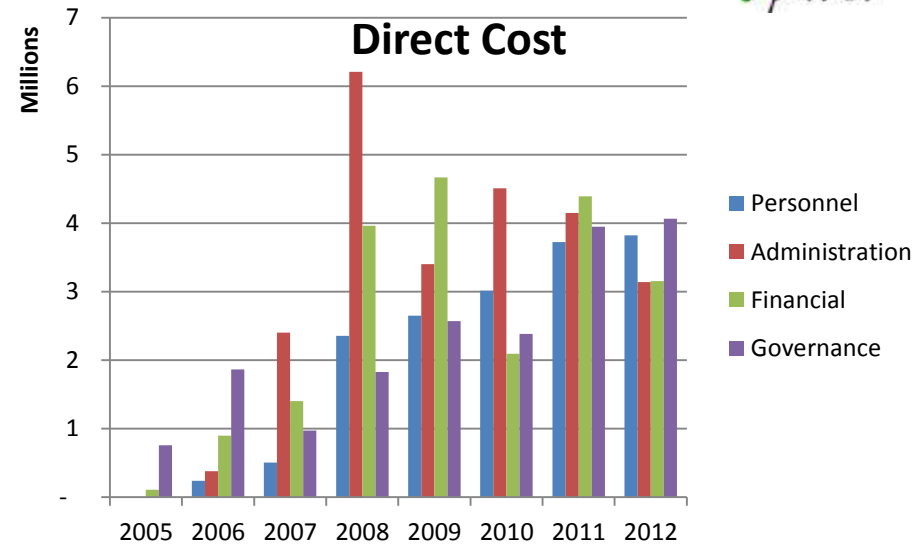
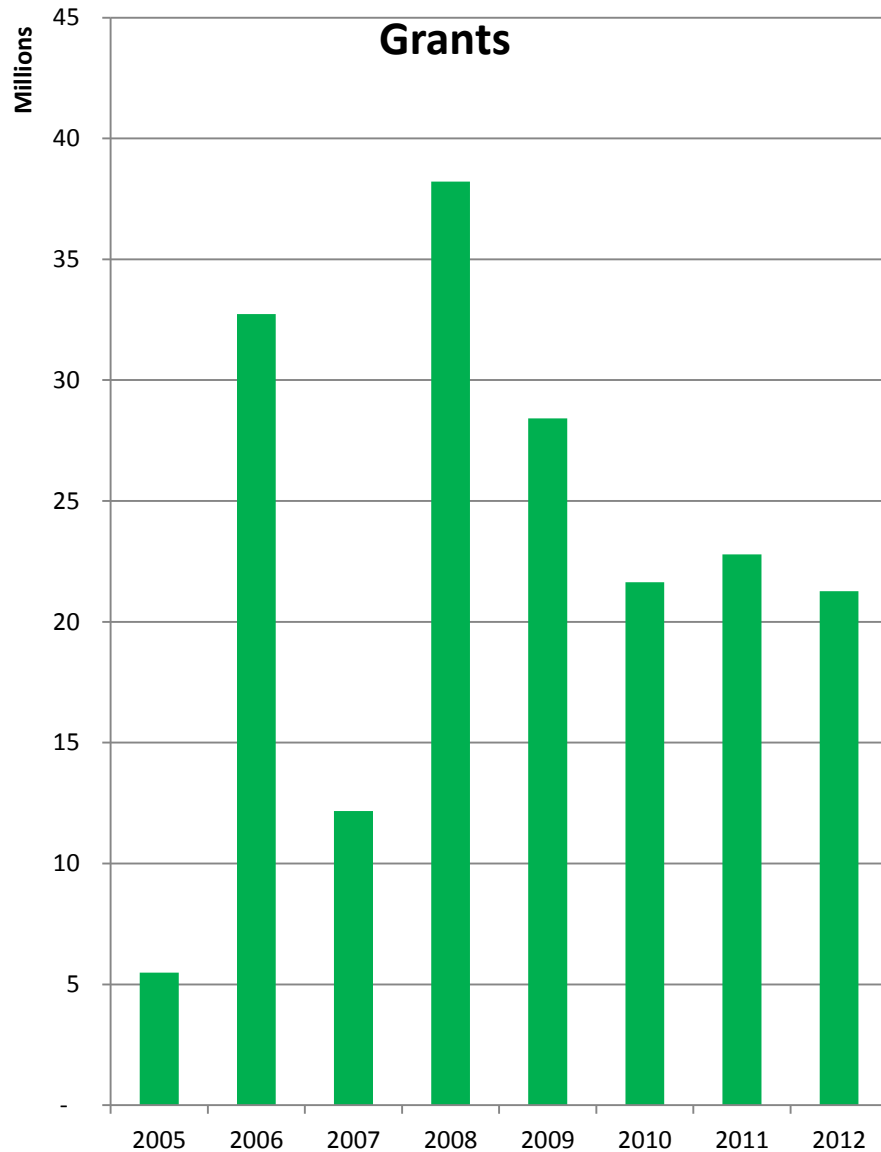


- Participatory
- Consistent with project design
- Actions include:
  - Pre-grant due diligence
  - Site visits
  - Reports monitoring and validation
  - Terminal assessment



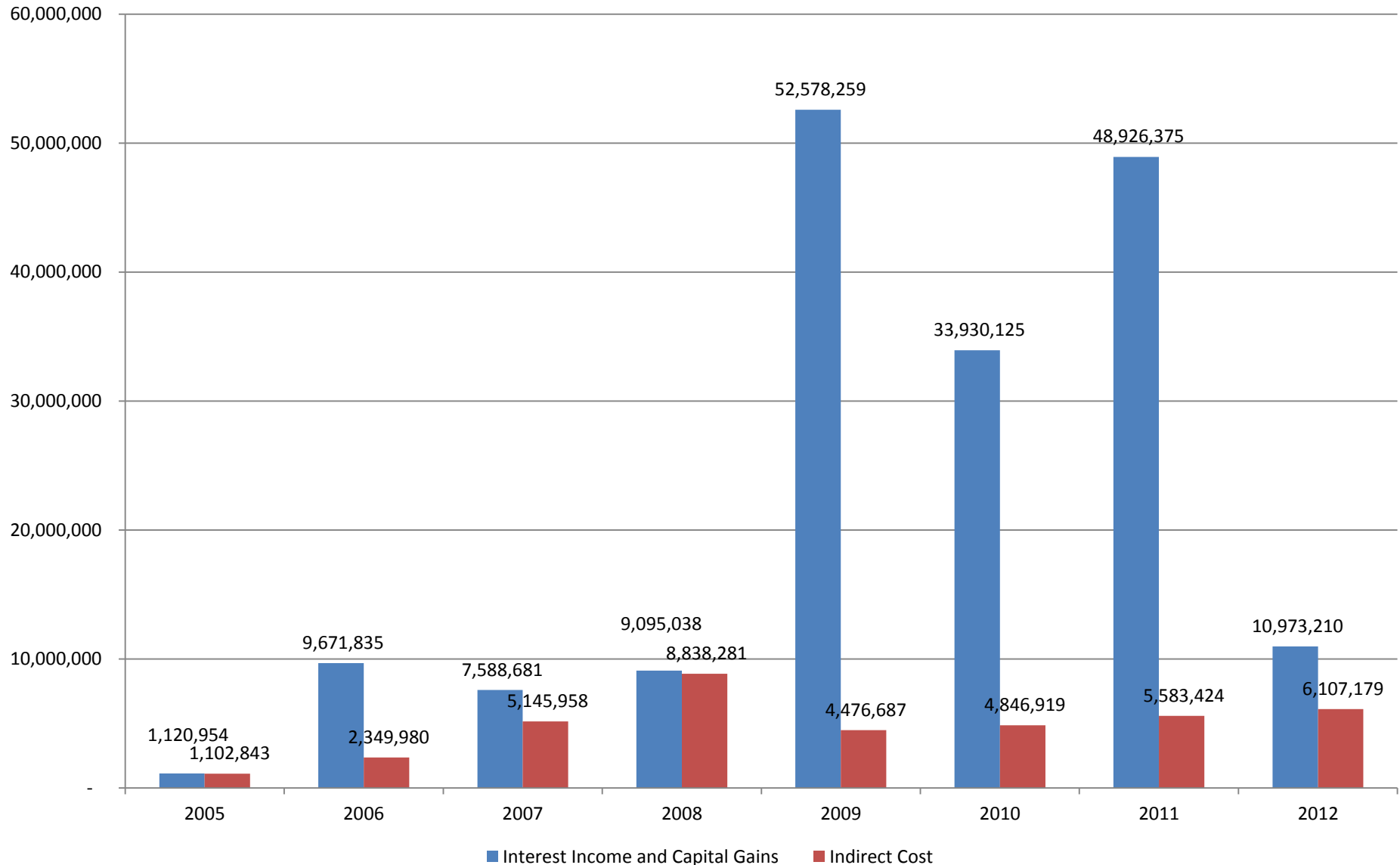
# PTFCF Total Grants and Expenses

## from 2005 to 2012



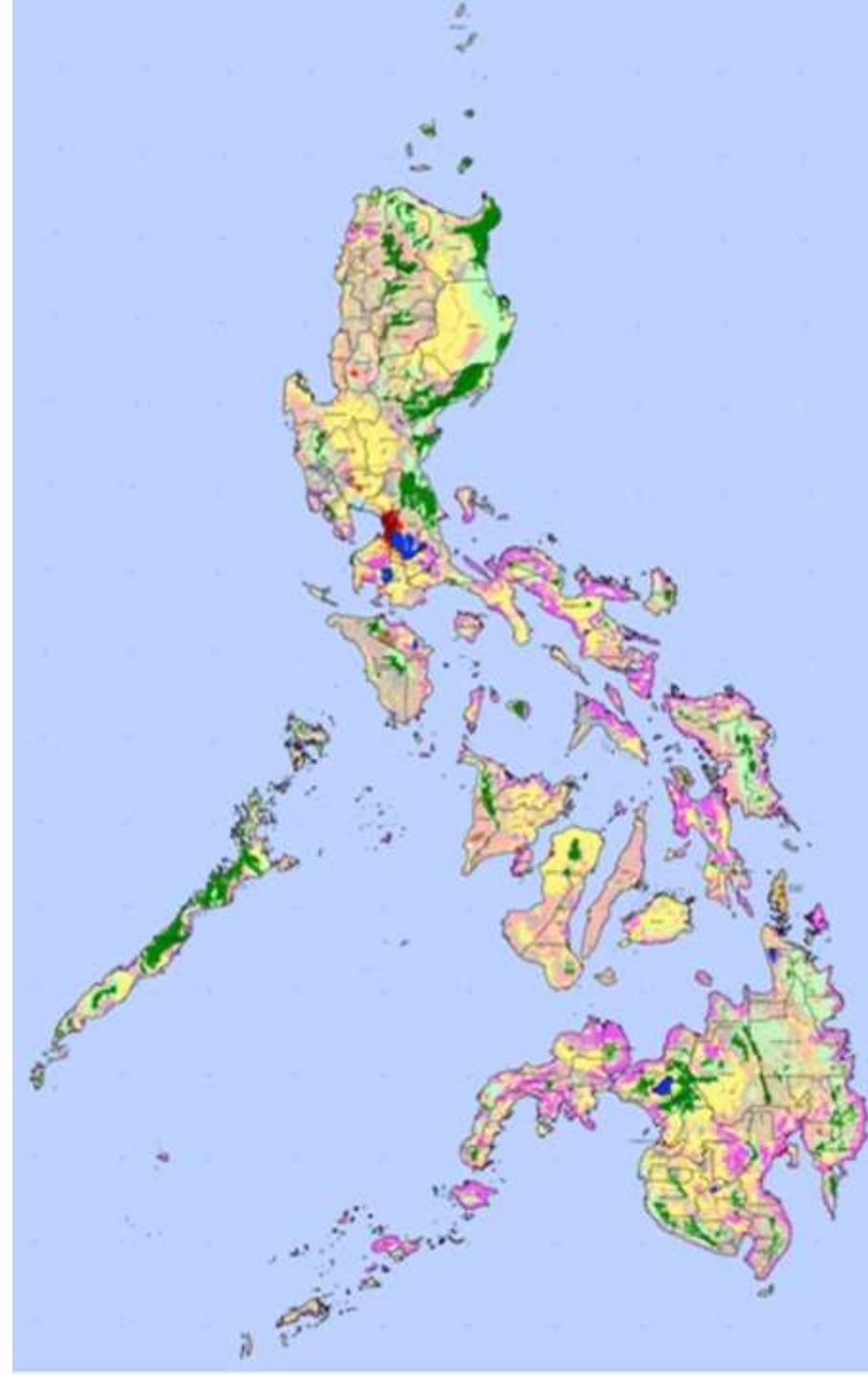
# Income vs Indirect Costs

## 2005 to 2012



## PTFCF Projects 2005-2012

- **257** projects
- **\$ 4.53 Million** in grants
- **\$ 3.4 Million** in counterpart funds
- Coverage: **1,300,450 hectares** (Terrestrial) and **50,419 hectares** (Mangrove)
- **23** on-going projects

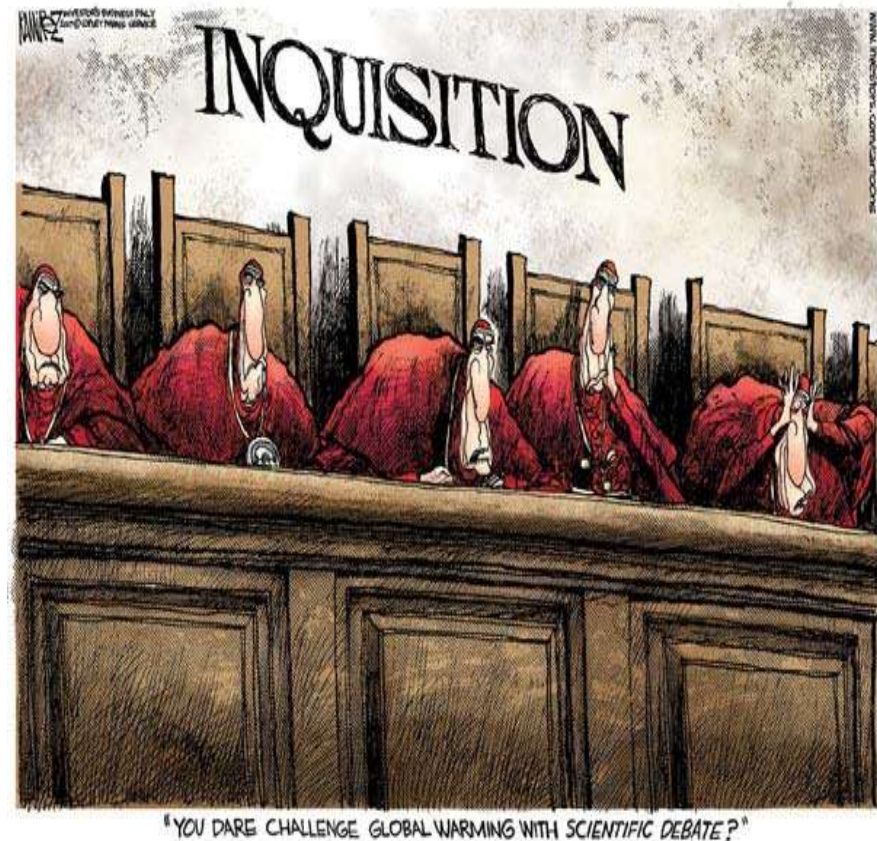




# Challenges in forest conservation



- Continuing loss of old growth forests and conversion of secondary growth
- Lack of information on forest conservation options and opportunities for support
- Lack of ecosystem based plans for forest conservation
- Limited capacity of community organizations for larger conservation work

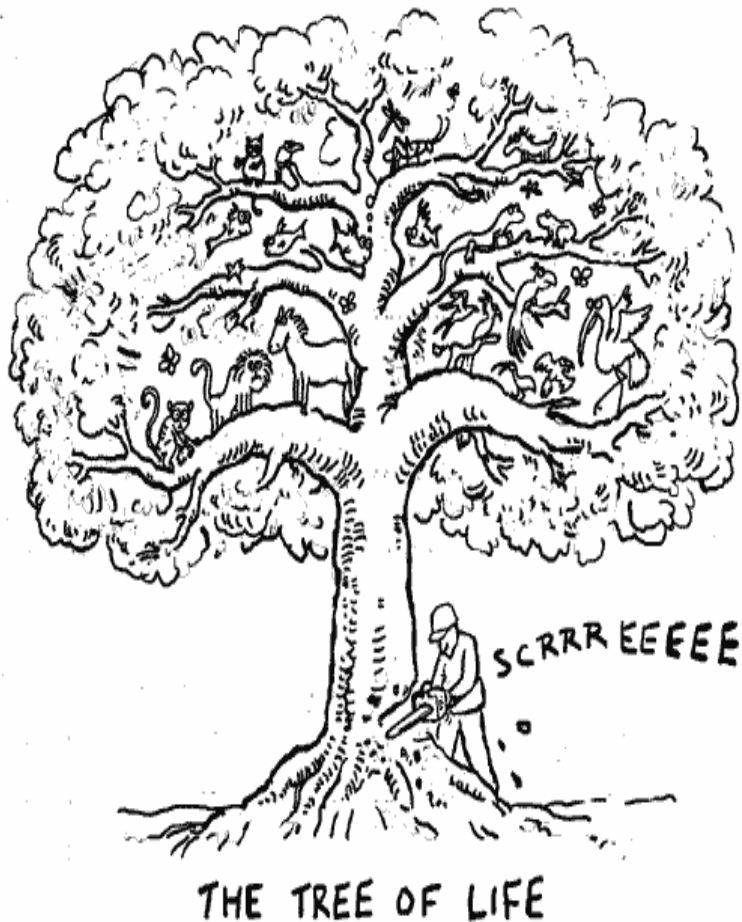


# Opportunities

- Increased awareness of forest ecosystem services (watershed, flood control, soil conservation)
- Public support for forest conservation
- Increased benefits to communities engaged in forest conservation



# Lessons Learned



- Address Persistent Forest Conservation Myths
- Invest in Effective Projects **and** New Approaches
- People and Process over Pesos
- Measure, Monitor, Manage
- Invest in Sustainability





Thank you